



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ram B. Gupta et al.

Application No.: 09/964,919 ✓

Filed: December 27, 2001

For: Novel Red-Shifted Triazine Ultravioletlight  
Absorbers ✓

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Group Art Unit: 1624

Examiner: V. Balasubramanian

Docket: 01005-00

Date: January 3, 2003

TECH CENTER 1600/2900  
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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent and Trademark Office of all information coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorney for Applicants hereby direct the Examiner's attention to the documents listed on the attached form PTO 1449. A copy of the references are provided herewith.

Identification of the listed documents is not to be construed as an admission of Applicants or Attorney for Applicants that such reference is available as "prior art" against the subject application.

Applicants respectfully request that the Examiner review the foregoing document and that the document be made of record in the file history of the application.

Respectfully submitted,

*James A. Jubinsky* ✓  
James A. Jubinsky 42,700  
(Reg. No.)

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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Ram B. Gupta et al.**

JAN 1 9 2003

Docket No.

01005-00

Serial No. <b>09/964,919</b>	Filing Date <b>December 27, 2001</b>	Examiner <b>V. Balasubramanian</b>	Group Art Unit <b>1624</b>
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Invention:

**NOVEL RED-SHIFTED TRIAZINE ULTRAVIOLET LIGHT ABSORBERS**

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I hereby certify that this **Information Disclosure Statement under 37 C.F.R. § 1.56**  
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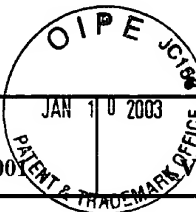
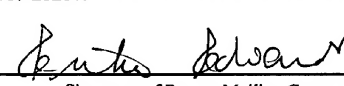
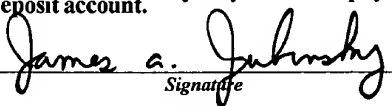
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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 01005-00
In Re Application Of: <b>Ram Gupta et al.</b>			
Serial No. 09/964,919	Filing Date September 27, 2001	Examiner V. Balasubramanian	Group Art Unit 1624
Title: <b>NOVEL RED-SHIFTED TRIAZINE ULTRAVIOLET LIGHT ABSORBERS</b>			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<b>37 CFR 1.97(b)</b>			
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b>			
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
<b>OR</b>			
<input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 01005-00
In Re Application: Ram Gupta et al.		
Serial No. 09/964,919	Filing Date September 27, 2001	<div style="text-align: center;">  </div> Examiner V. Balasubramanian
		Group Art Unit 1624
<b>NOVEL RED-SHIFTED TRIAZINE ULTRAVIOLET LIGHT ABSORBERS</b>		
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))		
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<div style="border: 1px solid black; padding: 5px;"> <p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____</p> <p>(Date)</p> <p>_____ Signature</p> <p>_____ Typed or Printed Name of Person Signing Certificate</p> </div>		
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<div style="border: 1px solid black; padding: 5px;"> <p>I certify that this document and fee is being deposited on Jan. 3, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p style="text-align: center;"> Signature of Person Mailing Correspondence Erika Edwards</p> <p>_____ Typed or Printed Name of Person Mailing Certificate</p> </div>		
<p><b>*This certificate may only be used if paying by deposit account.</b></p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;"> Signature James A. Jubinsky Registration No. 42,700</p> </div> <div style="width: 45%;"> <p>Dated: 1/3/2003</p> </div> </div>		
<p><b>Cytec Industries Inc.</b>  <b>Patent Law Dept.</b>  <b>1937 W. Main St. / P.O. Box 60</b>  <b>Stamford, CT 06904-0060</b>  <b>Tel: (203) 321-2913 Fax: (203) 321-2971</b></p>		
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